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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/781,940	02/20/2004	Kenneth K. Li	2138-280

6449
ROTHWELL, FIGG, ERNST & MANBECK, P.C.
1425 K STREET, N.W.
SUITE 800
WASHINGTON, DC 20005

CONFIRMATION NO. 9708

FORMALITIES LETTER



OC000000012626688

Date Mailed: 05/13/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2, 3a-b, 4a-b.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

10781940
08/11/2004 KBETEM1 00000029 022135
01 FC:2001 385.00 DA
02 FC:2202 171.00 DA
03 FC:2051 65.00 DA

- Additional claim fees of \$171 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$621** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$171**
 - **\$171** for **19** total claims over 20.

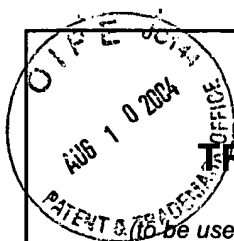
Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Complete if Known

Application Number	10/781,940
Filing Date	February 20, 2004
First Named Inventor	LI
Examiner Name	
Group Art Unit	2875
Attorney Docket Number	2138-280

Total Number of Pages in This Submission 18

ENCLOSURES (check all that apply)

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration/Power of Attorney |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

REMARKS:

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	George R. Repper, Reg. No. 31,414				
SIGNATURE		DATE	August 10, 2004	DEPOSIT ACCOUNT USER ID	



**FEE TRANSMITTAL
for FY 2004
(Small Entity)**

		Complete if Known	
		Application Number	10/781,940
		Filing Date	February 20, 2004
		First Named Inventor	LI
		Examiner Name	
		Group Art Unit	2875
Total Amount of Payment	(\$676.00)	Attorney Docket Number	2138-280

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.

2. ☐ Payment Enclosed:

☐ Check

☐ Credit Card

FEE CALCULATION

1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
2001	385	Utility filing fee	[385]
2002	170	Design Filing Fee	[]
2003	265	Plant Filing Fee	[]
2004	385	Reissue Filing Fee	[]
2005	80	Provisional Filing Fee	[]

SUBTOTAL \$385

2. CLAIMS

	Extra Claims	Fee	Fee Paid
Total Claims [39] - 20** =	[19] x	\$ 9 =	[171]
Independent Claims [3] - 3** =	[] x	43 =	[]
Multiple Dependent Claims	+	145 =	[]

**or number previously paid, if greater;

SUBTOTAL \$171

FEE CALCULATION (continued)

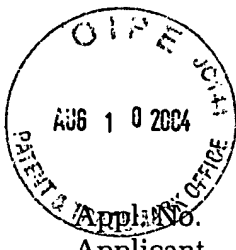
3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
2051	65	Surcharge - late filing fee or oath	[65]
2052	25	Surcharge - late provisional filing fee or cover sheet	[]
1053	130	Non-English specification	[]
1812	2,520	For filing a request for reexamination	[]
1804	920	Requesting publication of SIR prior to Examiner action	[]
1805	1,840*	Requesting publication of SIR after Examiner action	[]
2251	55	Extension for reply within first month	[55]
2252	210	Extension for reply within second month	[]
2253	475	Extension for reply within third month	[]
2254	740	Extension for reply within fourth month	[]
2255	1,005	Extension for reply within fifth month	[]
2401	165	Notice of Appeal	[]
2402	165	Filing a brief in support of an appeal	[]
2403	145	Request for Oral Hearing	[]
1451	1,510	Petition to institute a public use proceeding	[]
2452	55	Petition to revive -unavoidable	[]
2453	475	Petition to revive - unintentional	[]
2501	665	Utility issue fee (or reissue)	[]
2502	240	Design issue fee	[]
2503	320	Plant issue fee	[]
1460	130	Petitions to the Commissioner	[]
1807	50	Processing fee under 37 CFR 1.17(q)	[]
1806	180	Submission of Information Disclosure Statement	[]
8021	40	Recording each patent assignment per property (times number of properties)	[]
2809	385	Filing a submission after final rejection (37 CFR .129(a))	[]
2810	385	For each additional invention to be examined (37 CFR 1.129(b))	[]
2801	385	Request for Continued Examination (RCE)	[]
1802	900	Request for expedited examination of a design application	[]
1504	300	Publication fee for early, voluntary, or normal publication	[]
1505	300	Publication fee for republication	[]
1455	200	Filing an application for patent term adjustment	[]
1456	400	Request for reinstatement of term reduced	[]
		Other fee (specify)	[]

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$120

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	George R. Repper, Reg. No. 31,414				
SIGNATURE		DATE	August 10, 2004	DEPOSIT ACCOUNT USER ID	XXX



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 10/781,940
Applicant : LI et al.
Filed : February 20, 2004
TC/A.U. : 2875
Examiner :


Docket No. : 2138-280
Customer No. : 06449
Confirmation No. : 9708

Mail Stop: Missing Parts

Director of the United States Patent
and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts mailed May 13, 2004, the items checked
below are attached in the order listed:

XX	Fee transmittal itemizing payment of the fees enumerated thereon. If any additional payment is required, please charge such to Deposit Account No. 02-2135. An extra copy of the fee transmittal form is also attached for that purpose.				
XX	A response copy of the Notice to File Missing Parts				
XX	A declaration/power of attorney executed by the inventor(s)				
XX	Formal drawings comprised of <u>9</u> sheets.				
XX	Request for Extension of Time				
	Remarks:				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 6449					
Name	George R. Repper, Reg. No. 31,414				
Signature				Date	August 10, 2004
Address	Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W.				
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/781,940
Applicant : LI et al.
Filed : February 20, 2004
TC/A.U. : 2875
Examiner :
Confirmation No. : 9708

Docket No. : 2138-280
Customer No. : 6449

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Sir:

Attached hereto are nine (9) sheets of formal drawings to replace the nine (9) sheets of informal drawings filed with the subject application.

Respectfully submitted,

By

George R. Repper
Attorney for Applicants
Registration No. 31,414
ROTHWELL, FIGG, ERNST & MANBECK, P.C.
Suite 800, 1425 K Street, N.W.
Washington, D.C. 20005
Telephone: (202)783-6040